



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of

: **Confirmation No. 2270**

Gordon LOWE

: Docket No. 2001_1187A

Serial No. 09/914,264

: Group Art Unit 1625

Filed November 30, 2001

: Examiner R. Covington

PLATINUM (II) COMPOUNDS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:


a. ☐ a full or partial English language translation submitted herewith,

b. ☐ a foreign patent office search report (in the English language) submitted herewith,

- c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☒ Please see attached List of References.

Respectfully submitted,

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January 7, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
2001_1187ASERIAL NO.
09/914,264APPLICANT
Gordon LOWEFILING DATE
November 30, 2001GROUP
1625LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: January 7, 2004

U.S. PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AB	97/27202	7/1997	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AC	Dewan, John et al., Journal of the American Chemical Society, 102(2), pp. 858-860, (1980) -abstract - (Chemical Abstracts 92:120973).					
	AD	Howe-Grant, Mary et al., Biochemistry, 18(26), pp. 5762-5769, (1979) -abstract - (Chemical Abstracts 92:17364).					
	AE	Akiba, Masaharu et al., Chemistry Letters, 7, pp. 607-608, (1995) .					
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	AG	Fazlur-Rahman, A.K. et al., Inorg. Chem., 31, 2064-2069 (1992).					
	AH	Jennette, K.W. et al., Journal of the American Chemical Society, 20(98), pp. 6159-6168 (1976).					
	AJ	Howe-Grant, Mary et al., Biochemistry, 15(19), pp. 4339-4346 (1976).					
	AK	McFadyen, David et al., Biochem. J., 238, pp. 757-763 (1986).					
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	AC	Roszak, Alexander et al., Acta Crystallographica Section C, pp. 1645-1648 (1996).					
	AD	Pitteri, Bruno et al., J. Chem. Soc. Dalton Trans., 3853-3859 (1995).					
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	AG	Annibale, Giuliano et al., Polyhedron, 14(3), pp. 451-453 (1995).					
	AH	Howe-Grant, Mary et al., Biochemistry, 15(19), pp. 4339-4346 (1976).					
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	AD	Basolo, Fred et al., JACS, 82, pp. 4200-4203 (1960).					
	AE	Hill, Michael et al., Inorg. Chem., 35, pp. 4585-4590, (1996).					
	AF	Mureinik, R.J. et al., Inorg. Nucl. Chem. Letters, 13, pp. 625-629 (1977).					
	AG	Jennette, K.W. et al., JACS, 98(20), 6159-6168 (1976).					
	AH	Holmgren, Arne et al, Oxidative Stress in Cancer, AIDS and Neurodegenerative Diseases, p. 229-245, New York (1998).					
	AI	Schirmer R.H., et al., Angew. Chem. Int. Ed. Engl., 34, pp. 141-154 (1995).					
	AJ	Gladyshev, V. N., et al., Biochemical and Biophysical Research Communications, 251, pp. 488-493 (1998).					
	AK	Rubartelli, A., et al., Cancer Research, 55, pp. 675-680 (1995).					
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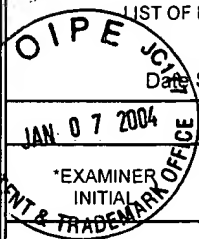
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AD	Yokomizo, A., et al., Cancer Research, 55, pp. 4293-4296 (1995)					
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AF	Rainwater, R., et al., Molecular and Cellular Biology, 15(7), pp. 3892-3903 (1995)					
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AE	Tamura, T., et al., Proc. Natl. Acad. Sci. USA, 93, pp. 1006-1011 (1996)
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AG	Mustacich, D., et al., Biochem. J., 346, pp. 1-8 (2000)
AH	Becker, K., et al., Eur. J. Biochem., 367, pp. 6118-6125 (2000)
AI	Arscott, L.D., et al., Proc. Natl. Acad. Sci. USA, 94, pp. 3621-3626 (1997)
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